

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C.

OMB APPROVAL

OMB Number: 3235-0076

Expires: August 31, 2015

Estimated Average burden hours per response: 4.0

		per response: 4.0
		<u> </u>
1. Issuer's Identity		
CIK (Filer ID Number)	Previous Name(s) No	Entity Type
0000109657	DDI PHARMACEUTICALS	• Corporation
Name of Issuer	INC	C Limited Partnership
OXIS INTERNATIONAL INC	DIAGNOSTIC DATA	C Limited Liability Company
Jurisdiction of Incorporation/Organization	INC /DE/	C General Partnership
DELAWARE		General Farthership
Year of Incorporation/Organiza	— tion	Business 11 ust
• Over Five Years Ago		Other
Within Last Five Years (Specify Year)		
C Yet to Be Formed		
2. Principal Place of E	Business and Conta	ct Information
Name of Issuer		
OXIS INTERNATIONAL INC		
Street Address 1	Street A	ddress 2
468 N. CAMDEN DR.	2ND F	LOOR
City	State/Province/Country ZI	P/Postal Code Phone No. of Issuer
BEVERLY HILLS	CALIFORNIA	00210 (310) 860-5184
3. Related Persons		
Last Name	First Name	Middle Name
Eaton	Kenneth	
Street Address 1		ddress 2
468 N. Camden Dr., 2nd Floor		
City	State/Province/Country	ZIP/Postal Code
Beverly Hills	CALIFORNIA	90210
	1	
Relationship: Exe	cutive Officer Direc	ctor Promoter
Clarification of Response (if Necess	ary)	
-		
		Marin M
Last Name	First Name	Middle Name
Last Name Dube	First Name Anshuman	Middle Name

468 N. Camden Dr., 2nd Floor

Beverly Hills	CALIFO	RNIA	90	0210
Relationship:	Executive Officer	☑ Dii	rector	Promoter
Clarification of Response (if Necessary)			
Resigned on July 25, 2014				
Last Name	First Name	•	Mi	ddle Name
Saloff	David			
Street Address 1		Street	Address 2	
468 N. Camden Dr., 2n	d Floor			
City	State/Provi	ince/Country	ZII	P/Postal Code
Beverly Hills	CALIFO	RNIA	90	0210
Relationship:	Executive Officer	☑ Dii	rector	Promoter
Clarification of Response (if Necessary)			
Resigned on July 25, 2014				
Last Name	First Name	-	Mi	ddle Name
Cataldo	Anthony			
Street Address 1		Street	Address 2	
468 N. Camden Dr., 2n	d Floor			
City	State/Provi	ince/Country	ZII	P/Postal Code
Beverly Hills	CALIFO	RNIA	90	0210
Relationship:	Executive Officer	▼ Dii	rector	Promoter
Clarification of Response (if Necessary)			
Was appointed to the Boa	rd on July 25, 2014 afte	er the closing		
4 1-1 -1 - 0				
4. Industry Grou		th Care	۸.	
C Agriculture	N-20	Biotechnology	C	Retailing
Banking & Financial S	ervices	Health Insuran	ice C	Restaurants
C Commercial Banki	ng C	Hospitals & Ph	ysicians	Technology
C Insurance	0	Pharmaceutica	ls	C Computers
C Investing	7.000	Other Health (Care	• Telecommunications
C Investment Bankin C Pooled Investment	O .			Other Technology
				Travel
Other Banking & I	0.00			
C Services	(M	ufacturin~		
C Services		ufacturing Estate		C Airlines & Airports
C Services C Business Services	Real	ufacturing Estate Commercial		
○ Services	Real	Estate		C Airlines & Airports C Lodging & Conventions

C Residential

Other Real Estate

C Energy Conservation

Oil & Gas

C Environmental Services

,	Soul P					
	Other Energy					
5. l:	ssuer Size					
Reven	ue Range		Aggre	gate Net	Asset	t Value Range
0	No Revenues		C	No Ag	grega	ate Net Asset Value
•	\$1 - \$1,000,000		0	\$1 - \$5	5,000,	000
0	\$1,000,001 - \$5,000,000		C	\$5,000	,001	- \$25,000,000
0	\$5,000,001 - \$25,000,000		C	\$25,00	0,001	1 - \$50,000,000
0	\$25,000,001 - \$100,000,000		C	\$50,00	0,001	1 - \$100,000,000
0	Over \$100,000,000		O	Over	6100,0	000,000
0	Decline to Disclose		O	Declin	e to I	Disclose
0	Not Applicable		О	Not A _l	pplica	able
6. F	Federal Exemption(s) a	and Exclusion	(s) C	lain	med (select all that
	oly)				1	,
	Rule 504(b)(1) (not (i), (ii) or (iii))		Rule 505			
	Rule 504 (b)(1)(i)	丅	Rule 506(b)			
	Rule 504 (b)(1)(ii)	╈	Rule 506(c)			
	Rule 504 (b)(1)(iii)	十				
		╁	Securities Act Section 4(a)(5)			
		╧	Investment Cor	npany A	ct Se	ection 3(c)
	Type of Filing New Notice Date of First S	ale	2014-07-24		П	First Sale Yet to Occur
	Amendment					
3. C	Duration of Offering					
oes t	the Issuer intend this offering to	last	more than one year?			C Yes © No
	Type(s) of Securitie	s C	offered (select	all th	at	apply)
0.1	Pooled Investment Fund nterests		Equity			
Т	Cenant-in-Common Securities	▼ Debt				
_ N	Mineral Property Securities Option, Warrant or Other Right to Acquire Another Security					
E	Security to be Acquired Upon Exercise of Option, Warrant or Other Right to Acquire Security Acquire Another Security Other (describe)					
	Business Combination offering being made in connect			ntion A		
	action, such as a merger, acquisi			3	0	Yes No
larif	ication of Response (if Necessar	y)				

	inimum Investment			
Minimum investor	investment accepted from any ou	itside \$ 5	0000	USD
12. Sa	ales Compensation			
Recipient			Recipient CRD Number	None
(Associate	ed) Broker or Dealer	None	(Associated) Broker or I Number	Dealer CRD None
Street Ac	ldress 1		Street Address 2	
City		State	/Province/Country	ZIP/Postal Code
tate(s) o	f Solicitation		All States	
10 0	ss : 10 1 A			
13. O	ffering and Sales An	nounts		
-4-1 Off	1425000		CD = I. J.C	
	ering Amount \$ 1425000		SD Indefinite	
	ount Sold \$ 1425000	U	SD	
otai Ken old	naining to be \$	U	SD	
lorificat	ion of Response (if Necessary)			
lai iiicat				
10 inves		cash. The Iss	uer's Chief	
Executiv	tors invested \$1,250,000.00 in over Officer purchased \$175,000.	00 of debent	ll ll	
Executiv	tors invested \$1,250,000.00 in (00 of debent	ll ll	
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Executive exchange 14. In	tors invested \$1,250,000.00 in over Officer purchased \$175,000. The for accrued and outstanding Vestors Select if securities in the offering I do not qualify as accredited invest Number of such non-accredited in	00 of debent salary.	nay be sold to persons who	
Executive exchange	tors invested \$1,250,000.00 in or Officer purchased \$175,000. e for accrued and outstanding Vestors Select if securities in the offering I do not qualify as accredited invest Number of such non-accredited in offering	nave been or nors,	nay be sold to persons who	
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14. In	tors invested \$1,250,000.00 in over Officer purchased \$175,000. The for accrued and outstanding vestors Select if securities in the offering I do not qualify as accredited invest Number of such non-accredited in offering Regardless of whether securities in the offering who do not qualify as acciding the persons who do not qualify as acciding the persons who already have investors who all already have investors who alread	nave been or nators, avestors who and the offering redited investe ested in the offering redited investors and the offering redited in the offering redited redite	nay be sold to persons who lready have invested in the have been or may be sold to persons, enter the total number fering: ' Fees Expenses finders' fees expenses, if an	o 11 any. If the amount of an
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14. In	tors invested \$1,250,000.00 in or Officer purchased \$175,000. The officer purchased \$175,000.	nave been or nators, avestors who and the offering redited investe ested in the offering redited investors and the offering redited in the offering redited redite	nay be sold to persons who lready have invested in the have been or may be sold to person, enter the total number fering: ' Fees Expenses finders' fees expenses, if an the box next to the amount USD	ny. If the amount of an i.

16. Use of Proceeds

If the amount is unknown, provide an estimate and	,		e to Item 3 above.
\$	0	USD	Estimate
Clarification of Response (if Necessary)			

Provide the amount of the gross proceeds of the offering that has been or is proposed to be used for payments to

Signature and Submission

Please verify the information you have entered and review the Terms of Submission below before signing and clicking SUBMIT below to file this notice.

Terms of Submission

In submitting this notice, each Issuer named above is:

- Notifying the SEC and/or each State in which this notice is filed of the offering of securities described and undertaking to furnish them, upon written request, the information furnished to offerees
- Irrevocably appointing each of the Secretary of the SEC and, the Securities Administrator or other legally designated officer of the State in which the Issuer maintains its principal place of business and any State in which this notice is filed, as its agents for service of process, and agreeing that these persons may accept service on its behalf, of any notice, process or pleading, and further agreeing that such service may be made by registered or certified mail, in any Federal or state action, administrative proceeding, or arbitration brought against it in any place subject to the jurisdiction of the United States, if the action, proceeding or arbitration (a) arises out of any activity in connection with the offering of securities that is the subject of this notice, and (b) is founded, directly or indirectly, upon the provisions of: (i) the Securities Act of 1933, the Securities Exchange Act of 1934, the Trust Indenture Act of 1939, the Investment Company Act of 1940, or the Investment Advisers Act of 1940, or any rule or regulation under any of these statutes, or (ii) the laws of the State in which the issuer maintains its principal place of business or any State in which this notice is filed.
- Certifying that, if the issuer is claiming a Regulation D exemption for the offering, the issuer is not disqualified from relying on Regulation D for one of the reasons stated in Rule 505(b)(2)(iii) or Rule 506(d).

Each Issuer identified above has read this notice, knows the contents to be true, and has duly caused this notice to be signed on its behalf by the undersigned duly authorized person.

For signature, type in the signer's name or other letters or characters adopted or authorized as the signer's signature.

Issuer	Signature	Name of Signer	Title	Date
OXIS INTERNATIONAL INC	Kenneth Eaton	Kenneth Eaton	Cheif Executive Officer	2014-07-31